

<b>INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.: 117-P-1380USC2	Serial No.: unknown
	Applicant(s): Minyu Li and Keith Darrell Lokkesmoe	Confirmation No.: unknown
	Filing Date: herewith	Group: unknown

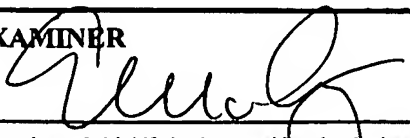
**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<i>Run</i>	3,011,975	12/1961	Nitzsche et al.			
	3,213,024	10/1965	Blake et al.			
	3,664,956	05/1972	Messina et al.			
	3,853,607	12/1974	Iyengar et al.			
	3,981,812	09/1976	Zletz			
	4,062,785	12/1977	Nibert			
	4,069,933	01/1978	Newing			
	4,149,624	04/1979	Douty et al.			
	4,162,347	07/1979	Montgomery			
	4,248,724	02/1981	MacIntosh			
	4,252,528	02/1981	Decker et al.			
	4,262,776	04/1981	Wilson et al.			
	4,289,671	09/1981	Hernandez			
	4,324,671	04/1982	Christian et al.			
	4,343,616	08/1982	Decker et al.			
	4,420,578	12/1983	Hagens et al.			
	4,436,200	03/1984	Hodlewski et al.			
	4,478,889	10/1984	Maruhashi et al.			
	4,486,378	12/1984	Hirata et al.			
	4,515,836	05/1985	Cobbs, Jr. et al.			
	4,525,377	06/1985	Nickel et al.			
	4,534,995	08/1985	Pocock et al.			
	4,538,542	09/1985	Kennon et al.			
	4,543,909	10/1985	Sharpless			
	4,569,869	02/1986	Kushida et al.			
<i>Run</i>	4,573,429	03/1986	Cobbs, Jr. et al.			
	4,627,457	12/1986	Bird et al.			

<b>EXAMINER</b> <i>Run</i>	<b>Date Considered</b> <i>4-30-07</i>
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4,632,053	12/1986	Villanueva et al.			
4,652,386	03/1987	Alberts et al.			
4,690,299	09/1987	Cannon			
4,709,806	12/1987	Candle			
4,713,266	12/1987	Hasegawa et al.			
4,714,580	12/1987	Maruhashi et al.			
4,719,022	01/1988	Hyde			
4,769,162	09/1988	Remus			
4,828,727	05/1989	McAninch			
4,851,287	07/1989	Hartsing, Jr.			
4,874,647	10/1989	Yatsu et al.			
4,919,984	04/1990	Maruhashi et al.			
4,929,375	05/1990	Rossio et al.			
4,980,211	12/1990	Kushida et al.			
5,001,935	03/1991	Tekkanat et al.			
5,009,801	04/1991	Wider et al.			
5,043,380	08/1991	Cole			
5,062,979	11/1991	Scharf et al.			
5,073,280	12/1991	Rossio et al.			
5,115,047	05/1992	Hashimoto et al.			
5,139,834	08/1992	Cole			
5,160,646	11/1992	Scheld			
5,174,914	12/1992	Gutzmann			
5,182,035	01/1993	Schmidt et al.			
5,191,779	03/1993	Imaja et al.			
5,202,037	04/1993	LaVelle et al.			
5,238,718	08/1993	Yano et al.			
5,320,132	06/1994	Weisse			
5,334,322	08/1994	Williams, Jr.			

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<i>Rev</i>	RE 34,742	09/1994	Maier et al.			
	5,352,376	10/1994	Gutzmann			
	5,371,112	12/1994	Sayre et al.			
	5,391,308	02/1995	Despo			
	5,427,258	06/1995	Krishnakumar et al.			
	5,474,692	12/1995	Laufenberg et al.			
	5,486,316	01/1996	Bershas et al.			
	5,509,965	04/1996	Harry et al.			
	5,534,172	07/1996	Perry et al.			
	5,549,836	08/1996	Moses			
	5,559,087	09/1996	Halsrud et al.			
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	5,573,819	11/1996	Nugent, Jr. et al.			
	5,652,034	07/1997	Seiner			
	5,658,619	08/1997	Kirschner et al.			
	5,663,131	09/1997	Winicov et al.			
	5,672,401	09/1997	Anglin et al.			
	5,681,628	10/1997	Niederst et al.			
	5,688,747	11/1997	Khan et al.			
	5,698,269	12/1997	Carlblom et al.			
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	5,721,023	02/1998	Ostapchenko			
	5,723,418	03/1998	Person Hei et al.			
	5,728,770	03/1998	Yamamoto et al.			
	5,747,430	05/1998	Matsushita et al.			
	5,747,431	05/1998	Taylor et al.			
	5,783,303	07/1998	Tsuei			
	5,789,459	08/1998	Inagaki et al.			
<i>Rev</i>	5,863,874	01/1999	Person Hei, et al.			

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<i>Am</i>	5,869,436	02/1999	Lindman			
	5,876,812	03/1999	Frisk et al.			
	5,925,601	07/1999	McSherry			
	5,935,914	08/1999	Theysen et al.			
	6,060,444	05/2000	Schulz et al.			
	6,087,308	07/2000	Butler et al.			
	6,207,622	03/2001	Li et al.			
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	6,288,012	09/2001	Li et al.			
	6,302,263	10/2001	Bennett et al.			
	6,310,013	10/2001	Lokkesmoe et al.			
	6,423,303 B1	07/2002	Ryklin et al.			
	6,427,826 B1	08/2002	Li et al.			
<i>Am</i>	6,485,794 B1	11/2002	Li et al.			


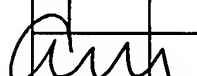
## FOREIGN PATENT DOCUMENTS

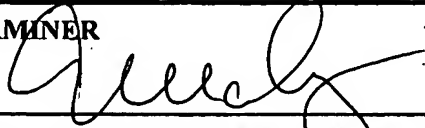
Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
<i>Am</i>	CA 1157456A	11/22/1983	Canada				
	EP 0 359 330	03/21/1990	Europe				
	EP 0 844 299	05/27/1998	Europe				
	GB 1,564,128	04/02/1980	Great Britain				
	JP 57-003892	01/09/1982	Japan			X	
	JP 06-136377	05/17/1994	Japan			Abstract Only	
	JP 10-053679	08/09/1996	Japan			Abstract Only	
	JP 10-059523	03/03/1998	Japan			X	
	NL 9300742	05/03/1993	Netherlands			X	
<i>Am</i>	WO 96/08601	03/21/1996	PCT				X

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**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

Examiner Initial	Document Description
	"A fracture mechanics approach to environmental stress cracking in poly(ethyleneterephthalate)," <i>Polymer</i> , vol. 39 no. 3, pp. 75-80 (1998).
	"The Alternative to Soap and Water for Lubricating Conveyor Lines," <i>Food &amp; Drink Business</i> , pp. 35-36 (January 1998)
	"Environmental Stress Cracking in PET Carbonated Soft Drink Containers," Eric J. Moskala, Ph.D., Eastman Chemical Company, presented at Bev Tech 98 (Savannah, GA)
	"Environmental Stress Cracking Resistance of Blow Molded Poly(Ethylene Terephthalate) Containers," <i>Polymer Engineering and Science</i> , vol. 32, no. 6, pp. 393-399 (March 1992)
	"MAZU DF 210 S 10% Silicone Defoamer", <i>Technical Bulletin</i> , BASF Corporation (2002).
	1520 Silicone Antifoam Brochure, <i>Dow Corning Webpage</i> (1 pg.).
	"Continuous improvement...the essence of success", <i>Quality Control Corner, Beverage World</i> (July 1996).
	"Encyclopedia of Chemical Technology, Fourth Edition, Flavor Characterization to Fuel Cells", <i>John Wiley &amp; Sons</i> , vol. 11, pp. 621-644 (Date unknown).
	Interflon®, <a href="http://www.interflon.nl/engels.htm">http://www.interflon.nl/engels.htm</a> , last updated Jun. 18, 1999, pp. 1-10.
	Interflon® "Fin Food Lube Al" Brochure, 20 pgs., (Date unknown).
	Du Pont Krytox® Brochure, "Krytox® Dry Film Lubricants", pp. 1-6 (Nov. 1997).
	Synco Chemical Corporation, <a href="http://www.super-tube.com">http://www.super-tube.com</a> , last updated May 5, 1999, 5 pgs.
	Moskala, E., "Environmental Stress Cracking in PET Beverage Containers", pp. 81 — 8-15 (1996).
	JohnsonDiversey Food Group Duplicate Invoice for Dicolube TP dated 5/09/96
	DiverseyLever Core-Euro Formulation dated June 1, 2000 (2 pgs)
	Material Safety Data Sheet for Dicolube TP dated 04/11/96 (1 pg.)
	Lubrication and Lubricants, <i>Encyclopedia of Chemical Technology</i> , vol. 15, pp. 463-517.
	Material Safety Data Sheet for Lubostar CP (May 3, 2000)

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Substitute for Form 1449/PTO

**INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT**

## Complete if Known

Application Number	10/715,692
Filing Date	November 18, 2003
First Named Inventor	Minyu Li
Art Unit	1764
Examiner Name	E. McAvoy
Attorney Docket No.	117-P-1380USC2

## U.S. PATENT DOCUMENTS

Examiner Initials	Item No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>Gu</i>	23-H	US-09/619,261 (Exhibit H to Item No. 23)	07/19/2000		

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Item No.	Foreign Patent Document Country Code/Number/Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T
<i>Gu</i>	6-B	WO 01/12759 A2 (Exhibit B to Item No. 6)	02/22/2002	PCT		
<i>Gu</i>	16-P	EP 99305796.7 (Exhibit P to Item No. 16)	Not yet published	EP		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Item No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
<i>Gu</i>	1	Docket Sheet for U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, October 25, 2004	
<i>Gu</i>	2	Docket Sheet for U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, October 25, 2004	
<i>Gu</i>	3	Complaint, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231 March 7, 2003	
<i>Gu</i>	4	Ecolab's Memorandum of Law in Support of Its Motion for a Preliminary Injunction, March 28, 2003	
<i>Gu</i>	5	Declaration of Tom Arata, March 28, 2003	
<i>Gu</i>	6	Declaration of David Cleveland, March 28, 2003	

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Examiner Name	E. McAvoy
Attorney Docket No.	117-P-1380USC2

	6-C	Opinion Letter (Exhibit C to Item No. 6), March 25, 2003	
6-C-B		Ecolab Analytical & Physical Chemistry Analysis Report (Attachment B to Item No. 6-C), February 4, 2003	
6-C-C		Product Information Sheet for DOWANOL DPM (Attachment C to Item No. 6-C), August 2001	
6-C-D		Dicolube TPB (Johnson Diversey Product Information, Attachment D to Item No. 6-C), 2002 or 2003	
6-C-E		DICOLUBE TPB Material Safety Data Sheet (Attachment E to Item No. 6-C), June 20, 2002	
6-C-F		Lubricity Properties of DPM (Attachment F to Item No. 6-C), 2003	
6-D		Dicolube System Dicolube TPB (Exhibit D to Item No. 6), 2002 or 2003	
7		Declaration of Amy McBroom, March 28, 2003	
7-A		Product Information Sheet for DOWANOL DPM (Exhibit A to Item No. 7), August 2001	
7-B		Ecolab Analytical & Physical Chemistry Analysis Report (Exhibit B to Item No. 7), September 5, 2000	
7-C		Dicolube TPB (Johnson Diversey Product Information, Exhibit C to Item No. 7), 2002 or 2003	
7-D		Ecolab Analytical & Physical Chemistry Analysis Report (Exhibit D to Item No. 7), February 4, 2003	
7-E		DICOLUBE TPB Material Safety Data Sheet (Exhibit E to Item No. 7), June 20, 2002	
7-F		Lubricity Properties of DPM (Exhibit F to Item No. 7), 2003	
8		Declaration of Rachel Zimmerman, March 28, 2003	
8-A		JohnsonDiversey Form 8-K, March 25, 2003	
9		Answer and Counterclaim, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, April 8, 2003	
10		JohnsonDiversey's Memorandum of Law in Opposition to Ecolab's Motion for a Preliminary Injunction, April 25, 2003	
11		Declaration of Tim A. Osswald, April 25, 2003	

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11-A	Curriculum Vitae, Tim Andreas Osswald (Exhibit A to Item No. 11), 2003
11-B	T. Osswald's Prior Testimony (Exhibit B to Item No. 11), 2002 or 2003
11-C	DOWANOL DPM (Exhibit C to Item No. 11), April 13, 2003
11-D	Dicolube TPB (Johnson Diversey Product Information, Exhibit D to Item No. 11), 2002 or 2003
11-E	DICOLUBE TPB Material Safety Data Sheet (Exhibit E to Item No. 11), June 20, 2002
12	Declaration of Dr. Harriet Black Nemhard, April 25, 2003
12-A	Minitab Output of Descriptive Statistics and Confidence Intervals on COF Data for Water, 67 ppm DPM, and 133 ppm DPM (Exhibit A to Item No. 12), 2003
13	Declaration of Jacques Rouillard, April 25, 2003
13-A	Product Information Sheet for DOWANOL DPM (Exhibit A to Item No. 13), August 2001
13-B	Report for Project A-258, M. Stanga, Diversey S.p.A. (Exhibit B to Item No. 13), December 1996
13-C	Report for Project A-260, M. Stanga, F. Bruschi, G. Bonaldi (Exhibit C to Item No. 13), September 1997
13-D	Revised List of Conveyor Lubricants Compatible with PET Containers (Exhibit D to Item No. 13), April 10, 1986
13-F	Testing Protocol (Exhibit F to Item No. 13), believed to be 2003
14	Declaration of Keith W. Kennedy, April 24, 2003
14-D	Report for Project A-260, M. Stanga, F. Bruschi, G. Bonaldi, September 1997 (Exhibit D to Item No. 14)
14-E	Track Treatment Workshop, Alzey, Germany (Exhibit E to Item No. 14), March 31, 1998 – April 1, 1998
15	Declaration of Michael K. Lammers, April 25, 2003
15-A	Dicolube TPB Sales (Exhibit A to Item No. 15), April, 2003
16	Declaration of Christopher G. Hanewicz, April 25, 2003
16-A	Table of Anticipatory Prior Art (Appendix A to Item No. 16), 2003

EXAMINER

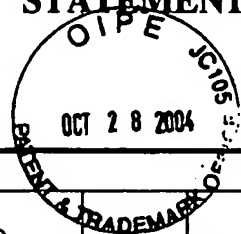
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Examiner Name	E. McAvoy
Attorney Docket No.	117-P-1380USC2

16-B	Invalidity Analysis of Claims 4,7,9,14-19,24,27 and 30-32 (Appendix B to Item No. 16), 2003
17	Ecolab's Reply Memorandum of Law in Support of Its Motion for a Preliminary Injunction, May 5, 2003
18	Reply Declaration of Tom Arata, May 2, 2003
19	Second Declaration of David R. Cleveland, May 4, 2003
20	Reply Declaration of Thomas J. Hairston, Ph.D., May 2, 2003
20-A	Curriculum Vitae, Thomas J. Hairston, Ph.D. (Exhibit E to Item No. 20), 2003
21	Reply Declaration of Amy McBroom, May 2, 2003
22	Declaration of Mario Stanga, May 9, 2003
22-1	Graph 1 BIS ((Exhibit 1 to Item No. 22), September 1997
23	Declaration of Mark Kassel, May 15, 2003
23-A	Curriculum Vitae, Mark A. Kassel (Exhibit A to Item No. 23)
23-B	Claim Chart (Exhibit B to Item No. 23), 2003
23-E	1520 Silicone Antifoam Brochure, <i>Dow Corning Webpage</i> (Exhibit E to Item No.23), May 2003
24	Third Declaration of David R. Cleveland, May 23, 2003
25	Memorandum, Opinion and Order, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, May 29, 2003
26	Ecolab's Appeal Brief, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, August 11, 2003
27	JohnsonDiversey's Appeal Brief, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, September 22, 2003
28	Ecolab's Reply Brief, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, October 23, 2003
29	Federal Circuit Opinion, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, April 6, 2004

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Full	30	Ecolab's Amended Complaint, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, June 10, 2004	
Full	31	JohnsonDiversey's Answer and Counterclaim to Ecolab's Amended Complaint, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, July 2, 2004	
Full	32	Ecolab's Reply to JohnsonDiversey's Counterclaim, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, August 11, 2004	

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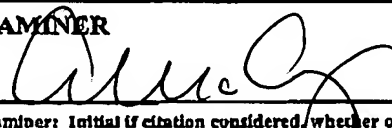
OMB No. 0651-0031

Page 1 of 1

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		US-5,871,590	2/16/1999	Hei et al.		
FOREIGN PATENT DOCUMENTS						
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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Item No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T


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Page 1 of 1

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	First Named Inventor	Minyu Li
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	Examiner Name	Jerry D. Johnson
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U.S. PATENT DOCUMENTS						
Examiner Initials	Item No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
FOREIGN PATENT DOCUMENTS						
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Gu		JP 07/247293	09/26/1995	Agency of Ind Science & Tech		X
Gu		JP 07/268380	10/17/1995	Pentel KK		X
NON PATENT LITERATURE DOCUMENTS						
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<b>EXAMINER</b> 	<b>Date Considered</b> 4-30-07
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